

# TWO TOPOLOGICAL PROBLEMS CONCERNING INFINITE-DIMENSIONAL NORMED LINEAR SPACES<sup>(1)</sup>

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**Introduction.** In the present work, we shall study the following two closely related topological problems concerning infinite-dimensional normed linear spaces.

*Problem I.* Given a closed subset  $K$  of an infinite-dimensional normed linear space  $E$ , when is  $E \sim K$  homeomorphic to  $E$ ?

*Problem II.* Given a closed subset  $K$  of an infinite-dimensional normed linear space  $E$ , when does there exist a periodic homeomorphism of  $E$  onto  $E$  with  $K$  as its set of fixed points?

Using the fact that every nonreflexive Banach space contains a decreasing sequence of nonempty bounded closed convex subsets with empty intersection, Klee [11] proved that if  $K$  is a compact subset of a non-reflexive Banach space  $E$ , then  $E$  is homeomorphic to  $E \sim K$ . Later [13], he showed that every infinite-dimensional normed linear space contains a decreasing sequence of unbounded but linear bounded (for the definition, see §1) nonempty closed convex subsets with empty intersection. He used this result to prove that every infinite-dimensional normed linear space  $E$  is homeomorphic to  $E \sim K$  where  $K$  is an arbitrary compact subset of  $E$ . As a consequence [13], if  $C$  is the unit cell of an infinite-dimensional normed linear space  $E$ , then there exists a homeomorphism  $i$  of  $C$  onto a closed half-space  $J$  in  $E$  such that  $i(\text{Bd } C) = \text{Bd } J$ . Klee [11] also proved that if  $E$  is either a nonreflexive strictly convexifiable Banach space or an infinite-dimensional  $l_p$ -space, then  $Q$  is homeomorphic to  $Q \cup K$  where  $K$  is a compact convex subset of the bounding hyperplane of an open half-space  $Q$  in  $E$ .

Concerning the set of fixed points of a periodic homeomorphism of a topological space into itself, a classical result of Smith [19] states that if  $M$  is a finite-dimensional locally compact space, acyclic mod  $p$  where  $p$  is

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a prime, then the set of fixed points of every homeomorphism of period  $p$  of  $M$  into  $M$  is acyclic mod  $p$ . For infinite-dimensional Hilbert space, the situation is quite different. A result of Klee [14] asserts that if  $K$  is a compact subset of an infinite-dimensional Hilbert space  $E$ , then for each integer  $n > 1$ , there exists a homeomorphism of period  $n$  of  $E$  onto  $E$  with  $K$  as its set of fixed points.

We shall continue the study in these directions and strengthen some of the known results. We first prove that in every infinite-dimensional normed linear space there exists a sequence  $\{C_n\}$  of unbounded but linearly bounded closed convex bodies with empty intersection and  $\text{Int. } C_n \supset C_{n+1}$  for each  $n = 0, 1, 2, \dots$ . Then we are able to prove (Theorem 1.3) that if  $K$  is a bounded closed convex subset of an infinite-dimensional normed linear space  $E$ , then there exists a homeomorphism  $i$  of  $E \times [0, 1]$  onto  $(E \times (0, 1]) \cup ((E \sim K) \times \{0\})$  such that  $i(E \times \{0\}) = (E \sim K) \times \{0\}$  and  $i(x, 1) = (x, 1)$  for all  $x$  in  $E$ . Applying a result of Bartle and Graves [2] and Theorem 1.3, we shall show in Theorem 3.5 that for every closed subset  $K$  of a closed linear subspace  $F$  of infinite deficiency in a Banach space  $E$ ,  $E \sim K$  is homeomorphic to  $E$ . If, in addition,  $Q$  is an open half-space in  $E$  such that the bounding hyperplane of  $Q$  contains  $F$ , then  $Q$  is homeomorphic to  $Q \cup K$ . Using these properties of an infinite-dimensional Banach space  $E$ , we can show (Theorem 5.3) that for every closed subset  $K$  of a closed linear subspace of infinite deficiency in  $E$  and for each positive integer  $n$ , there exists a homeomorphism of period  $2n$  of  $E$  onto  $E$  with  $K$  as its set of fixed points; in case  $E$  is a Hilbert space, then for each integer  $n > 1$ , there exists a homeomorphism of period  $n$  of  $E$  onto  $E$  with  $K$  as its set of fixed points. Now, for every compact subset  $K$  of an infinite-dimensional Hilbert space  $E$ , there exists a homeomorphism  $i$  of  $E$  onto  $E$  such that  $i(K)$  is contained in a closed linear subspace of infinite deficiency in  $E$  [12], hence Klee's result concerning the set of fixed points of a periodic homeomorphism, mentioned in the last paragraph, is a consequence of Theorem 5.3.

The above results concerning Problems I, II deal with those sets  $K$  which are "small" in the sense that  $K$  is a closed subset of a closed linear subspace of infinite deficiency in a Banach space. Next, we shall consider those sets  $K$  which are "large" in the sense that  $K$  is a closed convex body of an infinite-dimensional closed linear subspace of a Banach space  $E$ . For a closed convex body  $K$  in an infinite-dimensional Banach space  $E$ , the characteristic cone  $\{y \in E \mid x + [0, \infty)(y - x) \subset K\}$  of  $K$  relative to  $x \in K$  is either a linear variety of finite deficiency, a linear variety of infinite deficiency, or not a linear variety. We shall show in Theorems 2.5, 2.6 that, if the characteristic cone of a closed convex body  $K$  of an infinite-dimensional Banach space  $E$  is not a linear variety of finite deficiency in

$E$ , then there exists a homeomorphism  $i$  of  $E$  onto  $E$  such that  $i(K)$  is a closed half-space  $J$  in  $E$  and  $i(\text{Bd } K)$  is the bounding hyperplane of  $J$ . Hence, by using Theorem 1.3, we can prove (Theorem 3.1) that if  $F$  is an infinite-dimensional closed linear subspace of a Banach space  $E$  and  $K$  is a closed convex body in  $F$  such that the characteristic cone of  $K$  is not a linear variety of finite deficiency in  $E$ , then  $E$  is homeomorphic to  $E \sim K$ . In case the characteristic cone of  $K$  is a linear variety of finite deficiency,  $E$  is not necessarily homeomorphic to  $E \sim K$ . For example,  $E$  is not homeomorphic to  $E \sim H$ , where  $H$  is a closed hyperplane in  $E$ . On the other hand, if  $K$  is a closed convex body on the bounding hyperplane of an open half-space  $Q$  of an infinite-dimensional Banach space  $E$ , it is unknown whether or not  $Q$  and  $Q \cup K$  are homeomorphic. In particular, it is unknown whether or not  $Q$  is homeomorphic to  $Q \cup \text{Bd } Q$ . Since  $Q \cup \text{Bd } Q$  is homeomorphic to the unit cell of  $E$  (Theorem 2.4), this is equivalent to the following problem: When is an infinite-dimensional Banach space homeomorphic to its unit cell? This leads to the following question: Let  $K$  be a closed convex body of a closed linear subspace of finite deficiency in an infinite-dimensional Banach space  $E$ . When is  $K$  homeomorphic to  $E$ ? Klee [11] showed that every infinite-dimensional Hilbert space is homeomorphic to its unit cell and unit sphere. Later [16], he showed that if a Banach space  $E$  contains an  $h$ -compressible proper closed linear subspace, then  $E$  is homeomorphic to its unit cell. In Theorem 4.3, we show that if a Banach space  $E$  contains a closed linear subspace  $B$  of infinite deficiency such that  $B$  is homeomorphic to  $l_2$ , if  $K$  is a closed convex body of a closed linear subspace  $F$  of finite deficiency in  $E$ , then  $K$  is homeomorphic to  $E$  and  $\text{Bd}_F K$  is homeomorphic either to  $E$  or  $E \times S_n$  for some non-negative integer  $n$ , where  $S_n$  is the  $n$ -sphere. Concerning Problem II, Theorem 5.2 states that if  $F$  is an infinite-dimensional closed linear subspace of a Banach space  $E$  and  $K$  is a closed convex body in  $F$  such that the characteristic cone of  $K$  is not a linear variety of finite deficiency in  $F$ , then, for each positive integer  $n$ , there exists a homeomorphism of period  $2n$  of  $E$  onto  $E$  with  $\text{Bd}_F K$  as its set of fixed points; in case  $E$  is an infinite-dimensional Hilbert space, then, for each integer  $n > 1$ , there exists a homeomorphism of period  $n$  of  $E$  onto  $E$  with  $K$  as its set of fixed points.

*Notations.* All topological vector spaces considered are real vector spaces with the Hausdorff property. The field of real numbers is denoted by  $R$ ,  $[m, n] = \{\alpha \in R \mid m \leq \alpha \leq n\}$ ,  $(m, n] = \{\alpha \in R \mid m < \alpha \leq n\}$ , and  $(m, n) = \{\alpha \in R \mid m < \alpha < n\}$ . For  $x, y$  in a vector space  $E$ ,

$$(x, y] = \{\alpha x + (1 - \alpha)y \mid 0 \leq \alpha < 1\}.$$

The empty set is denoted by  $\emptyset$ .  $E \sim A = \{x \in E \mid x \notin A\}$ . For a subset  $K$  of a subspace  $F$  of a topological space  $E$ ,  $\text{Int. } K$  will denote the interior of

$K$  in  $E$ ,  $\bar{K}$  will denote the closure of  $K$  in  $E$  and  $\text{Bd}_F K$  will denote the boundary of  $K$  relative to  $F$ . For two topological spaces  $E, F$ ,  $E \approx F$  will mean that  $E$  is homeomorphic to  $F$ .

**1. Preliminary theorems.** A closed convex subset  $K$  of an Euclidean space is bounded if and only if its intersection with each line is bounded. This is not true in an infinite-dimensional normed linear space. The following terminology is introduced by Klee [12].

A subset of a linear space is said to be *linearly bounded* if its intersection with each line is a bounded subset.

**THEOREM 1.1.** *In every infinite-dimensional normed linear space  $E$ , there exists a sequence  $\{C_n\}$  of unbounded but linearly bounded closed convex bodies such that  $\bigcap_{n=0}^{\infty} C_n = \emptyset$  and  $\text{Int}.C_n \supset C_{n+1}$ ,  $C_n \neq \emptyset$ , for each  $n = 0, 1, 2, \dots$ .*

**Proof.** *Case 1.  $E$  is separable.*

By a theorem of Klee [13], there exists a sequence of continuous linear functionals  $\{f_i\}$ ,  $\bigcap_{i=1}^{\infty} f_i^{-1}(0) = \{0\}$ ,  $\|f_i\| = 1$  for each  $i = 1, 2, \dots$  and a sequence of positive integers  $\{n_i\}$  such that the closed convex set  $D_0 = \bigcap_{i=1}^{\infty} f_i^{-1}([-n_i, n_i])$  is an unbounded but linearly bounded subset of  $E$ . By the uniform boundedness principle [6],  $E$  admits a continuous linear functional  $f$ ,  $\|f\| = 1$ , such that  $\sup_{D_0} f = \infty$ . Let  $D_n = D_0 \cap f^{-1}[n, \infty)$  for  $n = 1, 2, \dots$ . Then  $\{D_n\}$  is a decreasing sequence of unbounded but linearly bounded closed convex sets with empty intersection.

For a given  $\epsilon > 0$  and a subset  $A$  of  $E$ , let  $N_\epsilon A = \{x \in E \mid \|x - a\| < \epsilon \text{ for some } a \text{ in } A\}$ . It is clear that if  $A$  is a convex subset of  $E$ , then  $N_\epsilon A$  is also a convex subset.

We claim that for any  $\epsilon$ ,  $0 < \epsilon < \infty$ ,  $N_\epsilon D_n$  is a linearly bounded subset of  $E$  for each  $n = 0, 1, 2, \dots$ .

For an arbitrary  $x$  in  $E$ , there is an integer  $i$  such that  $f_i(x) = \delta \neq 0$ . Choose  $m$  so that  $m|\delta| > n_i + \epsilon$ . Then for any  $\alpha$ ,  $|\alpha| \geq m$ , and any  $y \in f_i^{-1}([-n_i, n_i])$  we have  $\epsilon < m|\delta| - n_i \leq |\alpha||\delta| - n_i \leq |\alpha\delta| - |f_i(y)| \leq |f_i(\alpha x - y)| \leq \|f_i\| \cdot \|\alpha x - y\| = \|\alpha x - y\|$ . Since  $D_n \subset f_i^{-1}([-n_i, n_i])$ , this implies that  $\alpha x$  is not in  $N_\epsilon D_n$  for all  $\alpha$ ,  $|\alpha| \geq m$ . Since  $N_\epsilon D_n$  is convex, it follows easily that  $N_\epsilon D_n$  is a linearly bounded subset for each  $n = 0, 1, 2, \dots$ .

Let  $C_n = \overline{N_{1/2^n} D_n}$ ,  $n = 0, 1, 2, \dots$ . It is easy to prove that  $C_n \subset N_{1/2^{n-1}} D_n$ . We have shown that  $N_\epsilon D_n$  is linearly bounded for each  $n = 0, 1, 2, \dots$  and each  $\epsilon > 0$ . Hence  $\{C_n\}$  is a sequence of unbounded but linearly bounded closed convex bodies,  $\text{Int}.C_n \supset C_{n+1}$  for each  $n = 0, 1, 2, \dots$ . It remains to show that  $\bigcap_{n=0}^{\infty} C_n = \emptyset$ .

Suppose  $x \in \bigcap_{n=0}^{\infty} C_n$ . For each integer  $m \geq 0$ , there exist  $y_{mn} \in N_{1/2^n} D_n$  such that  $\|x - y_{mn}\| < 1/2^m$  for each  $n = 0, 1, 2, \dots$ .  $y_{mn} \in N_{1/2^n} D_n$  implies that there exists  $z_{mn} \in D_n$  such that  $\|y_{mn} - z_{mn}\| < 1/2^n$ . Hence  $\|x - z_{mn}\|$

$\leq \|x - y_{nn}\| + \|y_{nn} - z_{nn}\| < 1/2^n + 1/2^n = 1/2^{n-1} \rightarrow 0$  as  $n \rightarrow \infty$ . Since  $\{D_n\}$  is a decreasing sequence of closed subsets and  $z_{nn} \in D_n$  for each  $n = 0, 1, 2, \dots$ , this implies that  $x \in D_n$  for each  $n = 0, 1, 2, \dots$ , contradiction.

**Case 2.**  $E$  is not separable.

Let  $F$  be a separable closed linear subspace of  $E$ . By Case 1, there exists a sequence of unbounded but linearly bounded closed convex subsets  $\{D_n\}$  of  $F$  with empty intersection. For each  $x \in E \sim F$ , by the Hahn-Banach theorem, there is a continuous linear functional  $g$  on  $E$  such that  $g(F) = 0$ ,  $g(x) = \delta \neq 0$ . By an argument similar to the argument of Case 1, it can be shown that for each  $\epsilon$ ,  $0 \leq \epsilon < \infty$ ,  $N_\epsilon D_n = \{x \in E \mid \|x - a\| < \epsilon \text{ for some } a \in D_n\}$  is a linearly bounded subset of  $E$ , for each  $n = 0, 1, 2, \dots$ . Let  $C_n = \overline{N_{1/2^n} D_n}$ , then  $\{C_n\}$  is a sequence of unbounded but linearly bounded closed convex bodies in  $E$  with empty intersection and  $\text{Int.} C_n \supset C_{n+1}$  for each  $n = 0, 1, 2, \dots$ . Q.E.D.

The following concept introduced by Steinitz and also studied by Stoker [20] is useful in classifying the closed convex subsets in a topological vector space (see §2): Let  $A$  be a closed convex subset of a topological vector space  $E$  and  $x \in A$ . The *characteristic cone of  $A$  relative to  $x$*  is the set  $\text{cc}(A; x) = \{y \in E \mid x + [0, \infty)(y - x) \subset A\}$ . It is clear that if  $x, y \in A$ , then  $\text{cc}(A; x) = \text{cc}(A; y) + (x - y)$ . Thus if no confusion is possible, we shall simply speak of the characteristic cone of  $A$ .

We need the following lemma of Corson and Klee [4] in proving Theorem 1.3.

**LEMMA 1.2.** Suppose  $E_i$ ,  $i = 1, 2$ , are topological vector spaces,  $A_i, B_i$  are closed convex bodies in  $E_i$  and  $y_i \in E_i$  such that  $y_i \in \text{Int.} A_i \subset A_i \subset \text{Int.} B_i$  and  $\text{cc}(A_i; y_i) = \text{cc}(B_i; y_i)$ . Then every homeomorphism  $h$  of  $\text{Bd } B_1$  onto  $\text{Bd } B_2$  can be extended to a homeomorphism  $k$  of  $B_1 \sim \text{Int.} A_1$  onto  $B_2 \sim \text{Int.} A_2$  such that  $k(x) \in (y_2, h(z)]$  whenever  $z \in \text{Bd } B_1$  and  $x \in (y_1, z] \sim \text{Int.} A_1$ .

**THEOREM 1.3.** For every bounded closed convex subset  $K$  of an infinite-dimensional normed linear space  $E$ , there exists a homeomorphism  $i$  of  $E \times [0, 1]$  onto  $(E \times (0, 1]) \cup ((E \sim K) \times \{0\})$  such that  $i(x, 1) = (x, 1)$  for all  $x$  in  $E$  and  $i(E \times \{0\}) = (E \sim K) \times \{0\}$ .

**Proof.** By Theorem 1.1, there exists a sequence  $\{C_n\}$  of unbounded but linearly bounded closed convex bodies such that  $\text{Int.} C_n \supset C_{n+1}$  for each  $n = 0, 1, 2, \dots$  and  $\bigcap_{n=0}^{\infty} C_n = \emptyset$ . By considering  $\{\alpha C_n\}$ , for some  $\alpha \in R$ , instead of  $\{C_n\}$ , if necessary, we may assume that  $K \subset \text{Int.} C_0$ .

Let  $F = E \times R$  be the product space of  $E$  and  $R$ . Define

$$A_n = C_n \times \left[ -\frac{1}{n+1}, \frac{1}{n+1} \right], \quad n = 0, 1, 2, \dots$$

Choose  $y_n \in \text{Int}.C_n$ ,  $n = 0, 1, 2, \dots$ . Then the sequence  $\{A_n\}$  has the following properties:

$A_n$  is a closed convex body in  $F$ ,  $(y_n, 0) \in \text{Int}.A_n$  and  $\text{Int}.A_n \supset A_{n+1}$  for all  $n = 0, 1, 2, \dots$ .  $\text{cc}(A_i, (y_n, 0)) = (y_n, 0)$  for each  $0 \leq i \leq n$ .  $\bigcap_{n=0}^{\infty} A_n = \emptyset$ .

Now, let  $B_0 = A_0$ . Let  $\epsilon$  be a positive number such that  $B_0$  contains the  $2\epsilon$ -neighborhood  $N_{2\epsilon}(K \times \{0\})$  of  $K \times \{0\}$ . For  $n \geq 1$ , let  $B_n = \overline{N_{\epsilon/n}(K \times \{0\})}$ . Let  $z_n = (0, 0)$  for all  $n = 0, 1, 2, \dots$ . Then the sequence  $\{B_n\}$  has the following properties:

$B_n$  is a closed convex body in  $F$ ,  $z_n \in \text{Int}.B_n$ ,  $\text{Int}.B_n \supset B_{n+1}$  for all  $n = 0, 1, 2, \dots$ .  $\text{cc}(B_i, z_n) = z_n$  for all  $0 \leq i \leq n$  and  $\bigcap_{n=0}^{\infty} B_n = K \times \{0\}$ .

Let  $i_0$  be the identity mapping of  $F \sim \text{Int}.A_0$ . Then  $i_0(\text{Bd } A_0) = \text{Bd } B_0$ . By Lemma 1.2,  $i_0|_{\text{Bd } A_0}$  can be extended to a homeomorphism  $i_1$  of  $A_0 \sim \text{Int}.A_1$  onto  $B_0 \sim \text{Int}.B_1$  which takes points of  $E \times \{0\}$  into  $E \times \{0\}$ . Continuing in this way, we obtain a sequence of homeomorphisms  $i_0, i_1, i_2, \dots$  such that  $i_n(A_{n-1} \sim \text{Int}.A_n) = B_{n-1} \sim \text{Int}.B_n$  for each  $n = 1, 2, 3, \dots$ . Let  $i = \bigcup_{n=0}^{\infty} i_n$ . Since  $\bigcap_{n=0}^{\infty} A_n = \emptyset$ ,  $\bigcap_{n=0}^{\infty} B_n = K \times \{0\}$ , it follows that  $i$  is a homeomorphism of  $F$  onto  $F \sim (K \times \{0\})$ . The restriction of  $i$  on  $E \times [0, 1]$  is a homeomorphism of  $E \times [0, 1]$  onto  $(E \times (0, 1]) \cup ((E \sim K) \times \{0\})$  such that  $i(x, 1) = (x, 1)$  for all  $x$  in  $E$  and  $i(E \times \{0\}) = (E \sim K) \times \{0\}$ . Q.E.D.

**2. Classification of closed convex bodies.** We begin with a result of Bartle and Graves [2], extended to the present form (Lemma 2.1) by Michael [18]. A consequence (Corollary 2.3) of the result is needed in the proofs of Theorem 2.5 and most of the results in §§3, 4, 5.

**LEMMA 2.1 (BARTLE-GRAVES).** *Let  $f$  be a continuous linear mapping of a Banach space  $E$  onto a Banach space  $F$ . Then there exist a positive constant  $m$  and a continuous mapping  $g$  of  $F$  into  $E$  such that  $f \circ g(x) = x$ ,  $g(\alpha x) = \alpha g(x)$ ,  $\|g(x)\| \leq m\|x\|$  for all  $x$  in  $F$  and  $\alpha$  in  $R$ .*

Let  $G = f^{-1}(0)$ . For each  $(x, y) \in F \times G$ , define

$$\|(x, y)\| = \max(\|x\|, \|y\|).$$

It can be proved that  $F \times G$  is a Banach space with the norm  $\|\cdot\|$ .

**THEOREM 2.2.** *Let  $f$  be a continuous linear mapping of a Banach space  $E$  onto a Banach space  $F$ . Then there exists a homeomorphism  $h$  of  $E$  onto  $F \times G$ ,  $G = f^{-1}(0)$ , such that  $h(y) = (0, y)$  for all  $y$  in  $G$  and  $\|h(y)\| = \|y\|$  for all  $y \in E$ .*

**Proof.** By the previous lemma, there is a continuous mapping  $g$  of  $F$  into  $E$  such that  $f \circ g(x) = x$ ,  $g(\alpha x) = \alpha g(x)$ ,  $\|g(x)\| \leq m\|x\|$  for all  $x$  in  $F$  and all  $\alpha$  in  $R$ . Define  $h_1: E \rightarrow F \times G$  by  $h_1(y) = (f(y), y - g \circ f(y))$  for all  $y$  in  $E$ . It can be proved that  $h_1$  is a homeomorphism of  $E$  onto  $F \times G$

such that  $h_1(y) = (0, y)$  for all  $y$  in  $E$ . Define  $h: E \rightarrow F \times G$  by  $h(y) = \|y\| h_1(y) / \|h_1(y)\|$  if  $h_1(y) \neq 0$ , that is,  $y \neq 0$ , and  $h(0) = (0, 0)$ . Then  $\|y\| / (1 + m) \leq \|h_1(y)\| \leq ((m + 1)\|f\| + 1)\|y\|$  for all  $y$  in  $E$  and  $h$  is a homeomorphism of  $E$  onto  $F \times G$  such that  $h(y) = (0, y)$  for all  $y$  in  $F$  and  $\|h(y)\| = \|y\|$  for all  $y$  in  $E$ . Q.E.D.

**COROLLARY 2.3.** *For any closed linear subspace  $F$  of a Banach space  $E$ , there exists a homeomorphism  $h$  of  $E$  onto  $(E/F) \times F$  such that  $h(y) = (0, y)$  for all  $y$  in  $F$ .*

**Proof.** Let  $f$  be the canonical mapping of  $E$  onto the Banach space  $E/F$ . The corollary follows immediately from Theorem 2.2. Q.E.D.

Clearly, the characteristic cone of a closed convex body in an infinite-dimensional normed linear space is either a linear variety of infinite deficiency or a linear variety of finite deficiency or is not a linear variety. We shall consider each case separately.

**THEOREM 2.4.** *Let  $E$  be an infinite-dimensional normed linear space,  $C = \{x \in E \mid \|x\| \leq 1\}$  and  $S = \{x \in E \mid \|x\| = 1\}$ . Let  $J$  be a closed half-space in  $E$  with bounding hyperplane  $H$ . Then there exists a homeomorphism  $i$  of  $E$  onto  $E$  such that  $i(C) = J$  and  $i(S) = H$ .*

**Proof.** By Theorem 1.3,  $E$  is homeomorphic to  $E \sim \{0\}$ . Using this property of  $E$ , Klee [13] proved that there exists a homeomorphism  $j$  of  $C$  onto  $J$  which maps  $S$  onto  $H$ . Without loss of generality, we may assume  $0 \in J \sim H$ . Define  $i$  of  $E$  onto  $E$  by  $i(x) = j(x)$  if  $x \in C$ ,  $i(x) = \|x\|j(x/\|x\|)$  if  $x \notin C$ . It is clear that  $i$  is a homeomorphism and  $i(C) = J$ ,  $i(S) = H$ . Q.E.D.

**THEOREM 2.5.** *Let  $K$  be a closed convex body in a Banach space  $E$ . If the characteristic cone of  $K$  is a closed linear variety  $L$  of infinite deficiency in  $E$ , then there exists a homeomorphism  $i$  of  $E$  onto  $E$  such that  $i(K)$  is a closed half-space  $J$  in  $E$  and  $i(\text{Bd } K)$  is the bounding hyperplane of  $J$ .*

**Proof.** We may assume that  $0 \in \text{Int. } K$ ,  $0 \in L$ .

**Case 1.** Suppose  $L = \{0\}$ , that is,  $K$  is a linearly bounded closed convex body in  $E$ .

For any point  $y \neq 0$  in  $E$ , there exists a unique point  $x$  in  $\text{Bd } K$  such that  $y = \alpha x$  for some scalar  $\alpha > 0$ . Define  $j: E \rightarrow E$  by

$$j(y) = \alpha \frac{x}{\|x\|} \quad \text{for all } y \neq 0 \text{ in } E; \quad y = \alpha x, x \in \text{Bd } K,$$

and

$$j(0) = 0.$$

Then  $j$  is a homeomorphism of  $E$  onto  $E$  such that  $j(K) = C$  and  $j(\text{Bd } K) = S$ . Let  $i_1$  be a homeomorphism of  $E$  onto  $E$  such that  $i_1(C)$  is a closed half-space  $J$  and  $i_1(S)$  is the bounding hyperplane  $H$  of  $J$  obtained in Theorem 2.4. Then  $i = i_1 \circ j$  is a homeomorphism of  $E$  onto  $E$ ,  $i(K) = J$ ,  $i(\text{Bd } K) = H$ .

*Case 2.* Suppose  $\dim L \geq 1$ .

We claim that  $K = \bigcup_{x \in K} (x + L)$ .

It is clear that  $K \subset \bigcup_{x \in K} (x + L)$ . Given any  $x \in K$ ,  $y \in L$ , since  $y/\lambda \in L$  for all real numbers  $\lambda > 0$  and  $K$  is convex,  $(1 - \lambda)x + \lambda(y/\lambda) = (1 - \lambda)x + y$  is in  $K$  for all  $0 < \lambda \leq 1$ . Let  $\lambda \rightarrow 0$ ; then we have  $x + y \in K$  because  $K$  is closed.

By Corollary 2.3, the mapping  $h$  of  $E$  onto  $(E/L) \times L$ , defined by  $h(x) = (f(x), x - g \circ f(x))$  for all  $x$  in  $E$  where  $f$  is the canonical mapping of  $E$  onto  $E/L$ , is a homeomorphism. Since  $K = \bigcup_{x \in K} (x + L)$  and the characteristic cone of  $K$  is  $L$ ,  $f(K)$  is a linearly bounded closed convex body in  $E/L$  and  $h(K) = f(K) \times L$ . Let  $J$  be a closed half-space in  $E$ ; we may assume that the bounding hyperplane  $H$  of  $J$  contains  $L$ . By Case 1, there exists a homeomorphism  $i$  of  $E/L$  onto  $E/L$  such that  $i(f(K)) = J/L$ ,  $i(\text{Bd } f(K)) = H/L$ . The mapping  $i \times 1$ ,  $(i \times 1)(x, y) = (i(x), y)$  for all  $(x, y)$  in  $(E/L) \times L$ , is a homeomorphism of  $(E/L) \times L$  onto  $(E/L) \times L$  which maps  $f(K) \times L$  onto  $(J/L) \times L$ ,  $(\text{Bd } f(K)) \times L = \text{Bd } h(K)$  onto  $(H/L) \times L$ . Hence  $j = h^{-1} \circ (i \times 1) \circ h$  is a homeomorphism of  $E$  onto  $E$ ,  $j(K) = J$ ,  $j(\text{Bd } K) = H$ . Q.E.D.

**REMARK.** Using the argument similar to the proof of Theorem 1.3, Corson and Klee [4] proved that if  $F$  is a closed linear subspace of infinite deficiency in a normed linear space  $E$ , then  $E$  is homeomorphic to  $E \sim F$ . They were then able to prove Theorem 2.5 in case  $E$  is an infinite-dimensional normed linear space. However, the proof of Theorem 2.5 is simpler.

**THEOREM 2.6.** *Let  $K$  be a closed convex body in a normed linear space  $E$ . If the characteristic cone of  $K$  is not a linear variety then there is a homeomorphism  $i$  of  $E$  onto  $E$  such that  $i(K)$  is a closed half-space  $J$  in  $E$  and  $i(\text{Bd } K)$  is the bounding hyperplane of  $J$ .*

**Proof.** We may assume  $0 \in K$ ,  $0 \in J \sim H$ . There is a homeomorphism  $j$  of  $K$  onto  $J$  such that  $j(\text{Bd } K) = H$ ,  $j(K) = J$  [11, p. 30]. For each element  $y$  in  $E \sim K$ , there exists a unique point  $x$  in  $\text{Bd } K$  such that  $y = \alpha x$  for some scalar  $\alpha > 0$ . Define  $i$  of  $E$  onto  $E$  by  $i(y) = j(y)$  if  $y$  is in  $K$ ,  $i(y) = \alpha j(x)$  if  $y \in E \sim K$ ,  $y = \alpha x$ ,  $x \in \text{Bd } K$ . It can be proved that  $i$  is a homeomorphism of  $E$  onto  $E$ ,  $i(K) = J$ ,  $i(\text{Bd } K) = H$ . Q.E.D.

The above two results are to be compared with the following result of Klee [11].



**THEOREM 2.7.** *If the characteristic cone of a closed convex body  $K$  of a normed linear space  $E$  is a linear variety  $L$  of finite deficiency  $n$  in  $E$ , then there is a homeomorphism  $i$  of  $K$  onto  $L \times C_n$  where  $C_n$  is the unit cell in  $n$ -dimensional Euclidean space and  $i(\text{Bd } K) = L \times S_{n-1}$  where  $S_{n-1}$  is the  $(n-1)$ -sphere.*

**3. Closed subsets  $K$  of an infinite-dimensional normed linear space  $E$  such that  $E$  and  $E \sim K$  are homeomorphic.** First, we consider the case when  $K$  is a closed convex body of an infinite-dimensional closed linear variety of a Banach space  $E$ . Then we shall consider the case when  $K$  is a closed subset of a closed linear variety of infinite deficiency in a Banach space  $E$ .

**THEOREM 3.1.** *Let  $F$  be an infinite-dimensional closed linear variety of a Banach space  $E$ . If the characteristic cone of a closed convex body  $K$  of  $F$  is not a linear variety of finite deficiency in  $F$ , then  $E$  and  $E \sim K$  are homeomorphic.*

**Proof.** We may assume that  $F$  is a closed linear subspace. Corollary 2.3 asserts that there is a homeomorphism  $h$  of  $E$  onto  $(E/F) \times F$  such that  $h(x) = (0, x)$  for all  $x$  in  $F$  and  $\|h(x)\| = \|x\|$  for all  $x$  in  $E$ . By Theorems 2.5, 2.6, there exists a homeomorphism  $k$  of  $F$  onto  $F$  such that  $k(K) = C_F$ , the unit cell of  $F$ . The mapping  $i = h^{-1} \circ (1 \times k) \circ h$ ,  $(1 \times k)(x, y) = (x, k(y))$  for all  $(x, y)$  in  $(E/F) \times F$ , is a homeomorphism of  $E$  onto  $E$  such that  $i(K)$  is a closed convex subset of the unit cell of  $E$ . By Theorem 1.3, there exists a homeomorphism  $j$  of  $E$  onto  $E \sim i(K)$ . The mapping  $i^{-1} \circ j \circ i$  is a homeomorphism of  $E$  onto  $E \sim K$ . Q.E.D.

**REMARK.** If the characteristic cone of  $K$  is a linear variety of finite deficiency, then  $E$  is not necessarily homeomorphic to  $E \sim K$ . For example,  $E$  is not homeomorphic to  $E \sim H$  when  $H$  is a closed hyperplane in  $E$ .

**LEMMA 3.2.** *For every infinite-dimensional normed linear space  $E$ , there exists a homeomorphism  $j$  of  $((E \sim \{0\}) \times (0, 1]) \cup (E \times \{0\})$  onto  $E \times [0, 1]$  such that  $j(x, 0) = (x, 0)$  for all  $x$  in  $E$ .*

**Proof.** By Theorem 1.3, there exists a homeomorphism  $i$  of  $E \times [0, 1]$  onto  $(E \times (0, 1]) \cup ((E \sim \{0\}) \times \{0\})$  such that  $i(E \times \{0\}) = (E \sim \{0\}) \times \{0\}$ . By identifying  $(E \sim \{0\}) \times \{0\}$  with  $E \sim \{0\}$ , there is a homeomorphism  $i_0$  of  $E$  onto  $E \sim \{0\}$  defined by  $i_0(x) = i(x, 0)$  for all  $x$  in  $E$ . Define

$$j: ((E \sim \{0\}) \times [0, 1]) \cup (\{0\} \times \{0\}) \rightarrow E \times [0, 1]$$

by

$$j(x, t) = \begin{cases} i(i_0^{-1}(x), t) & \text{if } t \neq 0, \\ (x, t) & \text{if } t = 0. \end{cases}$$

It can be proved that  $j$  is a homeomorphism of  $((E \sim \{0\}) \times [0, 1]) \cup (\{0\} \times \{0\})$  onto  $E \times [0, 1]$  such that  $j(x, 0) = (x, 0)$  for all  $x$  in  $E$ . Q.E.D.

**LEMMA 3.3.** *For any infinite-dimensional normed linear space  $E$ , there exists a homeomorphism  $i$  of  $(E \times (0, 1]) \cup (0, 0)$  onto  $E \times (0, 1]$  such that  $i(x, 1) = (x, 1)$  for all  $x$  in  $E$ .*

**Proof.** By Lemma 3.2, there exists a homeomorphism  $j$  of  $E \times [0, 1]$  onto  $((E \sim \{0\}) \times (0, 1]) \cup (E \times \{0\}) = ((E \sim \{0\}) \times [0, 1]) \cup (0, 0)$  such that  $j(x, 0) = (x, 0)$  for all  $x$  in  $E$ . Define  $i_1$  of  $E \times [0, 1]$  onto

$$((E \sim \{0\}) \times [0, 1]) \cup (\{0\} \times \{0, 1\})$$

by  $i_1(x, t) = j(x, 2t)$  if  $0 \leq t \leq 1/2$ ;  $i_1(x, t) = j(x, 2 - 2t)$  if  $1/2 \leq t \leq 1$ . It is easy to prove that  $i_1$  is a homeomorphism and  $i_1(x, 1) = (x, 1)$ ;  $i_1(x, 0) = (x, 0)$  for all  $x$  in  $E$ .

Since  $E$  is a metric space and  $\{0\}$  is conveniently situated in  $E$  [11, (2.4)], there exists a homeomorphism  $i_2$  of  $((E \sim \{0\}) \times (0, 1]) \cup (\{0\} \times \{0, 1\})$  onto  $((E \sim \{0\}) \times (0, 1]) \cup (\{0\} \times \{1/2, 1\})$  such that  $i_2(x, 1) = (x, 1)$  for all  $x$  in  $E$ . Define  $i_3$  of  $((E \sim \{0\}) \times (0, 1]) \cup (\{0\} \times \{1/2, 1\})$  onto  $E \times (0, 1]$  by  $i_3(x, t) = i_1^{-1}(x, 2t)$  if  $0 < t \leq 1/2$ ;  $i_3(x, t) = i_1^{-1}(x, 2t - 1)$  if  $1/2 \leq t \leq 1$ . It can be proved that  $i_3$  is a homeomorphism such that  $i_3(x, 1) = (x, 1)$  for all  $x$  in  $E$ . Hence the mapping  $i = i_3 \circ i_2 \circ i_1$  is a homeomorphism of  $(E \times (0, 1]) \cup (0, 0)$  onto  $E \times (0, 1]$  such that  $i(x, 1) = (x, 1)$  for all  $x$  in  $E$ . Q.E.D.

**LEMMA 3.4.** *If  $F$  is a closed linear subspace of infinite deficiency in a Banach space  $E$ , then  $E$  and  $E \sim F$  are homeomorphic. If, in addition,  $Q$  is an open half-space in  $E$  whose bounding hyperplane  $H$  contains  $F$  then there exists a homeomorphism  $f$  of  $Q$  onto  $Q \cup F$  such that  $f(x_0 + y) = y$  for all  $y$  in  $F$  where  $x_0$  is in  $Q$ .*

**Proof.** By Corollary 2.3, there is a homeomorphism  $h$  of  $E$  onto  $(E/F) \times F$  such that  $h(x) = (0, x)$  for all  $x$  in  $F$ . Since  $E/F$  is an infinite-dimensional Banach space, by Theorem 1.3, there exists a homeomorphism  $g$  of  $E/F$  onto  $(E/F) \sim \{0\}$ . Define

$$k: (E/F) \times F \rightarrow ((E/F) \times F) \sim (\{0\} \times F)$$

by

$$k(x, y) = (g(x), y) \quad \text{for all } (x, y) \text{ in } (E/F) \times F.$$

It is clear that  $k$  is a homeomorphism of  $(E/F) \times F$  onto  $((E/F) \times F) \sim (\{0\} \times F)$ . The mapping  $h^{-1} \circ k \circ h$  is a homeomorphism of  $E$  onto  $E \sim F$ .

By hypothesis,  $F$  is contained in the bounding hyperplane  $H$  of an open half-space  $Q$ , hence  $H$  is homeomorphic to  $(H/F) \times F$ . Hence

$$E \approx H \times (-\infty, \infty) \approx (H/F) \times F \times (-\infty, \infty) \approx (H/F) \times (-\infty, \infty) \times F,$$

$$Q \approx H \times (0, \infty) \approx (H/F) \times F \times (0, \infty) \approx (H/F) \times (0, \infty) \times F,$$

$$Q \cup F \approx ((H/F) \times (0, \infty) \times F) \cup (\{0\} \times \{0\} \times F).$$

To prove  $Q$  and  $Q \cup F$  are homeomorphic, it suffices to show  $(H/F) \times (-\infty, \infty) \times F$  is homeomorphic to

$$((H/F) \times (0, \infty) \times F) \cup (\{0\} \times \{0\} \times F).$$

By Lemma 3.3, there is a homeomorphism  $f_0$  of  $(H/F) \times (0, \infty)$  onto  $((H/F) \times (0, \infty)) \cup (0, 0)$ . Define

$$f: (H/F) \times (0, \infty) \times F \rightarrow ((H/F) \times (0, \infty) \times F) \cup (\{0\} \times \{0\} \times F)$$

by

$$f(x, t, y) = (f_0(x, t), y) \quad \text{for all } (x, t, y) \text{ in } (H/F) \times (0, \infty) \times F.$$

It is easy to prove that  $f$  is a homeomorphism of  $(H/F) \times (0, \infty) \times F$  onto  $((H/F) \times (0, \infty) \times F) \cup (\{0\} \times \{0\} \times F)$ . Q.E.D.

**THEOREM 3.5.** *Let  $F$  be a closed linear subspace of infinite deficiency in a Banach space  $E$ . Let  $Q$  be an open half-space in  $E$  whose bounding hyperplane  $H$  contains  $F$ . If  $K$  is a closed subset in  $F$ , then  $Q$  is homeomorphic to  $Q \cup K$  and  $E$  is homeomorphic to  $E \sim K$ .*

**Proof.** By the previous lemma, to prove that  $Q$  and  $Q \cup K$  are homeomorphic it suffices to show that  $Q \cup F$  and  $Q \cup K$  are homeomorphic.

For  $x$  in  $F$ , let  $\phi(x) = \inf\{\|x - a\| \mid a \in K\}$ . Clearly,  $\phi$  is a real-valued continuous function on  $F$ . Define

$$\begin{aligned} g: ((H/F) \times (0, \infty) \times F) \cup (\{0\} \times \{0\} \times F) \\ \rightarrow ((H/F) \times (0, \infty) \times F) \cup (\{0\} \times \{0\} \times K) \end{aligned}$$

by

$$g(x, t, y) = \begin{cases} (x, t, y) & \text{if } y \in K, \\ \left( \phi(y) f_0^{-1}\left(\frac{x}{\phi(y)}, \frac{t}{\phi(y)}\right), y \right) & \text{if } y \in F \sim K, \end{cases}$$

where  $f_0$  is a homeomorphism of  $(H/F) \times (0, \infty)$  onto  $((H/F) \times (0, \infty)) \cup (0, 0)$  such that  $f_0(x, t) = (x, t)$  for  $t \geq 1$  obtained by Lemma 3.3.

To show  $g$  is continuous, it suffices to show if  $y_n \in K, y_n \rightarrow y \in K$ , then  $g(x, t, y_n) \rightarrow g(x, t, y)$ . Since  $f_0(x, t) = (x, t)$  for  $t \geq 1$ , for  $n$  sufficiently large,

$$g(x, t, y_n) = \left( \phi(y_n) f_0^{-1}\left(\frac{x}{\phi(y_n)}, \frac{t}{\phi(y_n)}\right), y_n \right) = \left( \phi(y_n) \left( \frac{x}{\phi(y_n)}, \frac{t}{\phi(y_n)} \right), y_n \right) = (x, t, y_n).$$

This implies that  $g(x, t, y_n) \rightarrow g(x, t, y)$  as  $y_n \rightarrow y$ . Clearly,  $g$  is a one-to-one and onto mapping. Define

$$g_1: ((H/F) \times (0, \infty) \times F) \cup (\{0\} \times \{0\} \times K) \\ \rightarrow ((H/F) \times (0, \infty) \times F) \cup (\{0\} \times \{0\} \times F)$$

by

$$g_1(x, t, y) = \begin{cases} (x, t, y) & \text{if } y \in K, \\ \left( \phi(y) f_0\left(\frac{x}{\phi(y)}, \frac{t}{\phi(y)}\right), y \right) & \text{if } y \in F \sim K. \end{cases}$$

Then if  $\phi(y) > 0$ , we have  $g \circ g_1(x, t, y) = g(\phi(y) f_0(x/\phi(y), t/\phi(y)), y) = (\phi(y) f_0^{-1}(\phi(y) f_0^{-1}(x/\phi(y), t/\phi(y))/\phi(y)), y) = (\phi(y) f_0^{-1} \circ f_0(x/\phi(y), t/\phi(y)), y) = (x, t, y)$ . This implies that  $g_1$  is the inverse of  $g$ . By similar argument as  $g$ , it can be proved that  $g_1$  is continuous. Hence  $g$  is a homeomorphism. We have shown that  $Q \cup F$  is homeomorphic to  $Q \cup K$ .

By Lemma 3.4, the mapping  $f$  of  $(H/F) \times (0, \infty) \times F$  onto

$$((H/F) \times (0, \infty) \times F) \cup (\{0\} \times \{0\} \times F)$$

defined by  $f(x, t, y) = (f_0(x, t), y)$  for  $(x, t, y)$  in  $(H/F) \times (0, \infty) \times F$  is a homeomorphism. Hence  $g \circ f$  is a homeomorphism of  $(H/F) \times (0, \infty) \times F$  onto  $((H/F) \times (0, \infty) \times F) \cup (\{0\} \times \{0\} \times K)$  and  $g \circ f(x_0, t_0, y) = (0, 0, y)$  for all  $y$  in  $K$  where  $f_0(x_0, t_0) = (0, 0)$ . The restriction of  $(g \circ f)^{-1}$  on  $(H/F) \times (0, \infty) \times F$  is a homeomorphism of  $(H/F) \times (0, \infty) \times F$  onto

$$((H/F) \times (0, \infty) \times F) \cup (\{x_0\} \times \{t_0\} \times K).$$

This can be used to define a homeomorphism of  $E$  onto  $E \sim K$ . Q.E.D.

REMARK. The restriction of  $g$  on

$$((H/F) \times (0, \infty) \times F) \cup (\{0\} \times \{0\} \times (F \sim K))$$

is a homeomorphism onto  $(H/F) \times (0, \infty) \times F$ . Since  $K$  is a closed subset of  $F$ ,  $F \sim K$  is an open subset in  $F$ . This implies that supposing  $F$  is a closed linear subspace of infinite deficiency in a Banach space  $E$  and  $Q$  is an open half-space in  $E$  such that the bounding hyperplane of  $Q$  contains  $F$ , then for any open subset  $G$  in  $F$ ,  $Q$  is homeomorphic to  $Q \cup G$ .

REMARK. In case  $E$  is an infinite-dimensional Hilbert space, Theorem 3.5 had been proved by Klee [14] implicitly.

Corollary 2.3 is not available in the proof of Theorems 3.1, 3.5 when  $E$  is not complete [15]. But if there exists a projection of  $E$  onto  $F$ , then  $E = G \times F$  for some closed linear subspace  $G$  in  $E$ . Using the property that  $E = G \times F$  in place of Corollary 2.3 we can prove the following results.

**THEOREM 3.6.** *Let  $F$  be a closed linear subspace of finite deficiency in an infinite-dimensional normed linear space  $E$ . Let  $K$  be a closed convex body in  $F$ .*

(a) *If the characteristic cone of  $K$  is not a linear variety, then  $E$  is homeomorphic to  $E \sim K$ .*

(b) *If the characteristic cone of  $K$  is a linear variety  $L$  of infinite deficiency in  $F$  and if there exists a continuous projection of  $F$  onto  $L$ , then  $E$  is homeomorphic to  $E \sim K$ .*

**THEOREM 3.7.** *Let  $F$  be a closed linear subspace of infinite deficiency in a normed linear space  $E$  such that there exists a continuous projection of  $E$  onto  $F$ . If  $K$  is a closed subset of  $F$  then  $E$  and  $E \sim K$  are homeomorphic. If, in addition,  $Q$  is an open half-space in  $E$  such that the bounding hyperplane of  $Q$  contains  $F$ , then  $Q$  and  $Q \cup K$  are homeomorphic.*

**4. Topological equivalence of a Banach space with its closed convex subsets.** The problem whether an open half-space  $Q$  of an infinite-dimensional Banach space  $E$  is homeomorphic to  $Q \cup \text{Bd } Q$  is equivalent to whether  $E$  is homeomorphic to its unit cell (Theorem 2.4). In this section, we shall consider the problem that if  $K$  is a closed convex body of a closed linear subspace of finite deficiency in a Banach space  $E$ , when is  $K$  homeomorphic to  $E$ ? We shall first prove two lemmas.

**LEMMA 4.1.** *Let  $B$  be a Banach space containing a proper closed linear subspace  $F$  which is homeomorphic to an infinite-dimensional Hilbert space. If a Banach space  $E$  admits a continuous linear mapping  $f$  onto  $B$ , then  $E$ ,  $C = \{x \in E \mid \|x\| \leq 1\}$  and  $S = \{x \in E \mid \|x\| = 1\}$  are homeomorphic.*

**Proof.** By Theorem 2.2, there exists a homeomorphism  $h$  of  $E$  onto  $P = B \times G$ ,  $G = f^{-1}(0)$ , such that  $\|h(x)\| = \|x\|$  for all  $x$  in  $E$ . To prove the theorem, it suffices to show that  $P$ ,  $C_P = \{x \in P \mid \|x\| \leq 1\}$  and  $S_P = \{x \in P \mid \|x\| = 1\}$  are homeomorphic. Let  $Y = F \times \{0\}$ .  $Y$  is a proper closed linear subspace of  $P$  and  $Y$  is homeomorphic to an infinite-dimensional Hilbert space  $X$ . A theorem of Klee [11] assures that  $X$ ,  $X \times (0, \infty)$  and  $X \times [0, \infty)$  are homeomorphic. Hence  $Y$ ,  $Y \times (0, \infty)$  and  $Y \times [0, \infty)$  are homeomorphic. Since  $Y$  is a proper closed linear subspace of  $P$ , there is a closed hyperplane  $H$  in  $P$  containing  $Y$ . Using Corollary 2.3 and the properties of  $Y$ , we have

$$P \approx H \times (0, \infty) \approx (H/Y) \times Y \times (0, \infty) \approx (H/Y) \times Y \times [0, \infty)$$

$$\approx H \times [0, \infty) \approx C_P,$$

$$P \approx H \times (0, \infty) \approx (H/Y) \times Y \times (0, \infty)$$

$$\approx (H/Y) \times Y \approx H \approx S_P.$$

Q.E.D.

REMARK 1. This lemma is a consequence of a result of Klee [16]. But the proof is simpler.

REMARK 2. Klee [13] showed that for every infinite-dimensional normed linear space  $E$ , the unit cell  $C$  of  $E$  is homeomorphic to  $E \sim \text{Int}.C$ . Hence the problem whether an infinite-dimensional normed linear space  $E$  is homeomorphic to its unit cell  $C$  is equivalent to the problem whether  $E$  is homeomorphic to  $E \sim \text{Int}.C$ . Notice that in Theorem 1.3, we have shown that every infinite-dimensional normed linear space  $E$  is homeomorphic to  $E \sim C$ .

REMARK 3. We have shown that the unit sphere  $S$  of an infinite-dimensional Banach space  $E$  is homeomorphic to a closed hyperplane of  $E$ . Hence the problem whether every infinite-dimensional Banach space is homeomorphic to its unit sphere is equivalent to the problem whether every infinite-dimensional Banach space is homeomorphic to a closed hyperplane.

Let  $F$  be a separable infinite-dimensional closed linear subspace of a Banach space  $E$ . Let  $H$  be a closed hyperplane in  $E$  containing  $F$ . Let  $H_F$  be a closed hyperplane in  $F$ . By Corollary 2.3,  $H \approx (H/H_F) \times H_F$  and  $E \approx (E/H_F) \times H_F \approx (H/H_F) \times (-\infty, \infty) \times H_F \approx H/H_F \times F$ . Hence if  $F$  is homeomorphic to  $H_F$ , then  $E$  and  $H$  are homeomorphic. Now suppose  $E$  is a separable infinite-dimensional Banach space, then there exists a continuous linear mapping  $f$  of  $l_1$  onto  $E$  [6]. Let  $G$  be the kernel of  $f$ , then  $G$  is a closed linear subspace of  $l_1$ .  $E$  is isomorphic to  $l_1/G$  and the closed hyperplane  $H$  of  $E$  is isomorphic to  $f^{-1}(H)/G$ .  $f^{-1}(H)$  is a closed hyperplane in  $l_1$ . So the problem is reduced to the following: If  $G$  is a closed linear subspace of  $l_1$  and  $H$  is a closed hyperplane in  $l_1$  containing  $G$ , does there exist a homeomorphism of  $l_1$  onto  $H$  which maps  $G$  onto  $G$ ? A recent result of Bessaga and Pełczyński [3] showed that every infinite-dimensional closed linear subspace of  $l_1$  is homeomorphic to  $l_1$ .

LEMMA 4.2. *Let  $E$  be a Banach space containing a closed linear subspace  $F$  of infinite deficiency which is homeomorphic to an infinite-dimensional Hilbert space. If  $L$  is a closed linear subspace of finite deficiency  $m$  in  $E$ , then for each integer  $n \geq 0$ ,  $E$  and  $L \times [0, 1]^n$  are homeomorphic.*

**Proof.** Since  $F$  is a closed linear subspace of infinite deficiency in  $E$ , there exists a closed linear subspace  $M$  of deficiency  $m$  in  $E$  such that  $F$  is contained in  $M$ . It is easy to define a linear homeomorphism of  $E$  onto  $E$  which maps  $L$  onto  $M$ . Hence we may assume that  $F$  is contained in  $L$ . Since  $F$  is homeomorphic to an infinite-dimensional Hilbert space,  $F$  is homeomorphic to  $F \times R^m$  [11]. Hence we have

$$E \approx L \times R^m \approx (L/F) \times F \times R^m \approx (L/F) \times F \approx L.$$

For  $n > 0$ , to show that  $E$  and  $L \times [0, 1]^n$  are homeomorphic, it suffices to show that  $E$  and  $H \times [0, 1]$  are homeomorphic where  $H$  is a closed hyperplane in  $E$ . We may assume that  $H$  contains  $F$ . By Lemma 4.1,  $H$  and  $C_H$ , the unit cell of  $H$ , are homeomorphic. Since the unit cell  $C$  of  $E$  is homeomorphic to  $C_H \times [0, 1]$ , we have

$$E \approx C \approx C_H \times [0, 1] \approx H \times [0, 1]. \quad \text{Q.E.D.}$$

**THEOREM 4.3.** *Let  $E$  be a Banach space containing a closed linear subspace  $B$  of infinite deficiency such that  $B$  is homeomorphic to an infinite-dimensional Hilbert space. Let  $F$  be a closed linear variety of finite deficiency in  $E$ , and  $K$  a closed convex body in  $F$ .*

(a) *If the characteristic cone of  $K$  is not a linear variety of finite deficiency in  $F$ , then  $E, K$  and  $\text{Bd}_F K$  are homeomorphic.*

(b) *If the characteristic cone of  $K$  is a linear variety of finite deficiency  $n$  in  $F$ , then  $K$  is homeomorphic to  $E$  and  $\text{Bd}_F K$  is homeomorphic to  $E \times S_{n-1}$ , where  $S_{n-1}$  is the  $(n - 1)$ -sphere.*

**Proof.** Without loss of generality, we may assume that  $F$  contains  $B$ .

(a) From Theorems 2.5, 2.6,  $K$  is homeomorphic to  $C_F = \{x \in F \mid \|x\| \leq 1\}$  and  $\text{Bd}_F K$  is homeomorphic to  $S_F = \{x \in F \mid \|x\| = 1\}$ . Lemma 4.1 implies that  $C_F$ ,  $S_F$  and  $F$  are homeomorphic. Hence  $K$ ,  $\text{Bd}_F K$  and  $F$  are homeomorphic. But  $F$  is homeomorphic to  $E$  by Lemma 4.2. This shows that  $K$  and  $\text{Bd}_F K$  are homeomorphic to  $E$ .

(b) By Theorem 2.7,  $K$  is homeomorphic to  $L \times C_n$  and  $\text{Bd}_F K$  is homeomorphic to  $L \times S_{n-1}$ . Lemma 4.2 assures that  $F$ ,  $L$  and  $L \times [0, 1]^n$  are homeomorphic. Hence  $K$  is homeomorphic to  $F$  and  $\text{Bd}_F K$  is homeomorphic to  $F \times S_{n-1}$ . But  $F$  is homeomorphic to  $E$ . The proof of the theorem is completed. **Q.E.D.**

**REMARK.** Lemma 4.1 and Theorem 4.3 should be compared with the following result of Corson and Klee [4]: Suppose the normed linear space  $E$  admits (for each finite  $n$ ) a closed linear subspace of deficiency  $n$  which is homeomorphic with its own unit cell. Then  $E$  is homeomorphic with all its closed convex bodies.

**REMARK 2.** Every infinite-dimensional Banach space clearly contains a separable infinite-dimensional proper closed linear subspace. If Banach's conjecture that all separable infinite-dimensional Banach spaces are homeomorphic is true, then every infinite-dimensional Banach space would contain a proper closed linear subspace homeomorphic to  $l_2$ . Hence if Banach's conjecture is true, Theorem 4.3 would imply that if  $K$  is a closed convex body in a closed linear subspace of finite deficiency in an infinite-dimensional Banach space  $E$ , then  $K$  is homeomorphic to  $E$  and  $\text{Bd}_F K$  is homeomorphic to  $E$  or  $E \times S_n$  for some integer  $n \geq 0$ .

REMARK 3. If  $E$  is an infinite-dimensional Hilbert space, then every infinite-dimensional closed linear subspace  $F$  of  $E$  is isomorphic to  $E$ . Let  $K$  be a closed convex body in  $F$ . By Theorem 4.3,  $K$  is homeomorphic to  $F$ , hence to  $E$ . Klee [12] had proved that every locally compact closed convex subset of an infinite-dimensional normed linear space is homeomorphic either to  $[0, 1]^m \times (0, 1)^n$  or  $[0, 1]^m \times [0, 1]$ , where  $m, n$  are cardinal numbers,  $0 \leq m \leq \aleph_0$ ,  $0 \leq n < \aleph_0$ . Hence it is natural to ask whether in an infinite-dimensional Hilbert space  $E$ , there exists a closed convex but not locally compact subset  $K$ , which is not contained in any proper closed linear subspace of  $E$  and every point of  $K$  is a boundary point. The answer is positive. Let  $K = \{x \in l_2 \mid x = (x_1, x_2, \dots), x_i \geq 0 \text{ for all } i = 1, 2, \dots\}$ . It is clear that  $K$  is closed convex and is not contained in any proper closed linear subspace of  $l_2$ . Klee [10] proved that every point of  $K$  is a boundary point. It can be proved that every neighborhood of  $(0, 0, \dots)$  in  $K$  is not compact. The topological classification of all closed convex subsets of an infinite-dimensional Hilbert space is far from complete.

The hypothesis of Theorem 4.3 lead us to consider the problem: When is an infinite-dimensional separable Banach space homeomorphic to  $l_2$ ? Each of the following separable infinite-dimensional Banach spaces  $E$  is homeomorphic to  $l_2$ .

(a)  $E$  is a  $w^*$ -closed linear subspace of the dual space of a normed linear space.

(b)  $E$  is  $(c_0)$ , the space of all sequences converging to 0 with supremum norm.

(c)  $E$  admits an unconditional basis.

(d)  $E$  is a closed linear subspace of  $L(X, \mu)$  of all real-valued  $\mu$ -absolutely summable functions on a compact metric space  $X$  and  $\mu$  is a Borel measure on  $X$ .

**5. Periodic homeomorphisms with preassigned set of fixed points.** This section is devoted to Problem II. The main results are Theorems 5.2, 5.3.

LEMMA 5.1. *Let  $E$  be a normed linear space. For every positive integer  $n$ , there exists a homeomorphism of period  $2n$  of  $E$  onto  $E$  with 0 as the only fixed point.*

**Proof.** Let  $F$  be a two-dimensional closed linear subspace of  $E$ . Then there exist a closed linear subspace  $G$  of  $E$  and a homeomorphism  $h_1$  of  $E$  onto  $G \times F$  such that  $h_1(0) = (0, 0)$  and  $h_1(x) = (0, x)$  for all  $x$  in  $F$ . For each positive integer  $n$ , there exists a homeomorphism of period  $n$  of the Euclidean plane  $R_2$  onto itself with 0 as the only fixed point. Since  $F$  is topologically equivalent to  $R_2$ , there exists a homeomorphism  $k$  of period  $n$  of  $F$  onto  $F$  with 0 as the only fixed point. Define



$$h_2: G \times F \rightarrow G \times F$$

by

$$h_2(x, y) = (-x, k(y)) \quad \text{for } (x, y) \text{ in } G \times F.$$

Then  $h_2$  is a homeomorphism of period  $2n$  of  $G \times F$  onto  $G \times F$  with  $(0, 0)$  as the only fixed point. Hence  $h_1^{-1} \circ h_2 \circ h_1$  is a homeomorphism of period  $2n$  of  $E$  onto  $E$  with  $0$  as the only fixed point. Q.E.D.

**THEOREM 5.2.** *Let  $F$  be an infinite-dimensional closed linear subspace of a Banach space  $E$ . If the characteristic cone of a closed convex body  $K$  of  $F$  is not a linear variety of finite deficiency in  $F$  then for each positive integer  $n$ , there exists a homeomorphism of period  $2n$  of  $E$  onto  $E$  with  $\text{Bd}_F K$  as its set of fixed points. In case  $E$  is an infinite-dimensional Hilbert space, then for each integer  $n > 1$ , there exists a homeomorphism of period  $n$  of  $E$  onto  $E$  with  $K$  as its set of fixed points.*

**Proof.** Let  $H$  be a closed hyperplane in  $E$  containing  $F$  (in case  $F = E$ , let  $H$  be the space  $E$ ). Let  $H_F$  be a closed hyperplane in  $F$ .  $H_F$  is a closed linear subspace of  $H$ . Corollary 2.3 implies that there is a homeomorphism  $h_1$  of  $E$  onto  $(H/H_F) \times H_F \times (-\infty, \infty)$ . By Theorems 2.5, 2.6, there is a homeomorphism  $h_2$  of  $H_F \times (-\infty, \infty)$  onto  $H_F \times (-\infty, \infty)$  such that  $h_2(K) = H_F \times [0, \infty)$ ,  $h_2(\text{Bd}_F K) = H_F \times \{0\}$ . Define  $h_3$  of  $(H/H_F) \times H_F \times (-\infty, \infty)$  onto itself by  $h_3(x, y, t) = (r(x), y, -t)$  for all  $(x, y, t)$  in  $(H/H_F) \times H_F \times (-\infty, \infty)$ , where  $r$  is a homeomorphism of period  $2n$  of  $H/H_F$  onto itself with  $0$  as the only fixed point.  $h_3$  is a homeomorphism of period  $2n$  of  $(H/H_F) \times H_F \times (-\infty, \infty)$  onto itself with  $\{0\} \times H_F \times \{0\}$  as its set of fixed points. Hence  $h_1^{-1} \circ (1 \times h_2)^{-1} \circ h_3 \circ (1 \times h_2) \circ h_1$  is a homeomorphism of period  $2n$  of  $E$  onto  $E$  with  $\text{Bd}_F K$  as its set of fixed points.

Suppose  $E$  is an infinite-dimensional Hilbert space. Since  $F$  is an infinite-dimensional closed linear subspace of  $E$ ,  $F$  is isomorphic to  $E$ . By a theorem of Klee [11, p. 33], for each integer  $n > 1$ , there exists a homeomorphism  $k_1$  of period  $n$  of  $F$  onto  $F$  with  $(F \sim \text{Int}.C_F) = \{x \in F \mid \|x\| \geq 1\}$  as its set of fixed points. On the other hand, there is a homeomorphism  $k_2$  of  $F$  onto  $F$  such that  $k_2(C_F) = F \sim \text{Int}.C_F$  [13]. By Theorems 2.5, 2.6, there is a homeomorphism  $k_3$  of  $F$  onto  $F$  such that  $k_3(K) = C_F$ . Hence  $k = k_3^{-1} \circ k_2^{-1} \circ k_1 \circ k_2 \circ k_3$  is a homeomorphism of period  $n$  of  $F$  onto  $F$  with  $K$  as its set of fixed points. It is clear that  $k$  can be used to define a homeomorphism of period  $n$  of  $E$  onto  $E$  with  $K$  as its set of fixed points. Q.E.D.

**THEOREM 5.3.** *Let  $F$  be a closed linear subspace of infinite deficiency in a Banach space  $E$ . If  $K$  is a closed subset in  $F$  then, for each positive integer  $n$ , there exists a homeomorphism of period  $2n$  of  $E$  onto  $E$  with  $K$  as its set of fixed points. In case  $E$  is an infinite-dimensional Hilbert space, for each integer*

$n > 1$ , there exists a homeomorphism of period  $n$  of  $E$  onto  $E$  with  $K$  as its set of fixed points.

**Proof.** Let  $Q$  be an open half-space in  $E$  such that the bounding hyperplane  $H$  of  $Q$  contains  $F$ . By Theorem 3.5, there is a homeomorphism  $h_2$  of  $Q$  onto  $Q \cup K$  such that  $h_2(x_0 + y) = y$  for all  $y$  in  $K$  where  $x_0 \in Q$ . Let  $h_1$  be a homeomorphism of  $E$  onto  $Q$  such that  $h_1(y) = x_0 + y$  for all  $y$  in  $H$ . Corollary 2.3 asserts that there is a homeomorphism  $h_3$  of  $Q \cup K$  onto  $((H/F) \times (0, \infty) \times F) \cup (\{0\} \times \{0\} \times K)$ . Since  $H/F$  is an infinite-dimensional Banach space, by Lemma 3.2, there is a homeomorphism  $j$  of  $(H/F) \times [0, \infty)$  onto  $((H/F) \sim \{0\}) \times (0, \infty) \cup ((H/F) \times \{0\})$  such that  $j(x, 0) = (x, 0)$  for all  $x$  in  $H/F$ . Define

$$h_4: ((H/F) \times (0, \infty) \times F) \cup (\{0\} \times \{0\} \times K) \\ \rightarrow (((H/F) \sim \{0\}) \times (0, \infty) \times F) \cup (\{0\} \times \{0\} \times K)$$

by  $h_4(x, t, y) = (j(x, t), y)$  for  $x \in H/F$ ,  $t \in [0, \infty)$ ,  $y \in F$ . It can be proved that  $h_4$  is a homeomorphism of  $((H/F) \times (0, \infty) \times F) \cup (\{0\} \times \{0\} \times K)$  onto  $((H/F) \sim \{0\}) \times (0, \infty) \times F \cup (\{0\} \times \{0\} \times K)$  such that  $h_4(0, 0, y) = (0, 0, y)$  for all  $y$  in  $K$ . Define  $h_5$  of  $((H/F) \sim \{0\}) \times (0, \infty) \times F \cup (\{0\} \times \{0\} \times K)$  onto itself by  $h_5(x, t, y) = (r(x), t, y)$  where  $r$  is a homeomorphism of period  $2n$  of  $H/F$  onto itself with 0 as the only fixed point obtained by Lemma 5.1. It is clear that  $h_5$  is a homeomorphism of period  $2n$  with  $\{0\} \times \{0\} \times K$  as its fixed-point set. Then the mapping  $h_1^{-1} \circ h_2^{-1} \circ h_3^{-1} \circ h_4^{-1} \circ h_5 \circ h_4 \circ h_3 \circ h_2 \circ h_1$  is a homeomorphism of period  $2n$  of  $E$  onto  $E$  with  $K$  as its set of fixed points.

If  $E$  is an infinite-dimensional Hilbert space, then  $H/F$  is isomorphic to  $E$ . Hence for each integer  $n > 1$ , there exists a rotation  $r$  of angle  $2\pi/n$  of  $H/F$  onto  $H/F$  with 0 as the only fixed point (see [1] or [11]). Define  $h'_5$  of  $((H/F) \sim \{0\}) \times (0, \infty) \times F \cup (\{0\} \times \{0\} \times K)$  onto itself by  $h'_5(x, t, y) = (r(x), t, y)$ . Then  $h_1^{-1} \circ h_2^{-1} \circ h_3^{-1} \circ h_4^{-1} \circ h'_5 \circ h_4 \circ h_3 \circ h_2 \circ h_1$  is a homeomorphism of period  $n$  of  $E$  onto  $E$  with  $K$  as its fixed-point set. Q.E.D.

**REMARK.** In the case when  $E$  is an infinite-dimensional Hilbert space, Theorem 5.3 had been proved implicitly by Klee [14].

Corollary 2.3 is not available in the proof of Theorems 5.2, 5.3, when  $E$  is not complete [15]. But if there exists a continuous projection of  $E$  onto  $F$ , then  $E = G \times F$  for some closed linear subspace  $G$  in  $E$ . Using the property that  $E = G \times F$  in place of Corollary 2.3 we can prove the following results.

**THEOREM 5.4.** *Let  $F$  be a closed linear subspace of finite deficiency in an infinite-dimensional normed linear space  $E$ . Let  $K$  be a closed convex body in  $F$ .*

(a) If the characteristic cone of  $K$  is not a linear variety then for each positive integer  $n$ , there exists a homeomorphism of period  $2n$  of  $E$  onto  $E$  with  $\text{Bd}_F K$  as its fixed-point set.

(b) If the characteristic cone of  $K$  is a linear variety  $L$  of infinite deficiency in  $F$  and if there exists a continuous projection of  $F$  onto  $L$ , then for each positive integer  $n$ , there exists a homeomorphism of period  $2n$  of  $E$  onto  $E$  with  $\text{Bd}_F K$  as its set of fixed points.

**THEOREM 5.5.** *Let  $F$  be a closed linear subspace of infinite deficiency in a normed linear space  $E$  such that there exists a continuous projection of  $E$  onto  $F$ . For every closed subset  $K$  of  $F$  and for each positive integer  $n$ , there exists a homeomorphism of period  $2n$  of  $E$  onto  $E$  with  $K$  as its set of fixed points.*

Theorem 5.3 leads us to consider the following problem: Given a compact subset  $K$  of an infinite-dimensional topological vector space  $E$ , does there exist a homeomorphism  $i$  of  $E$  onto  $E$  such that  $i(K)$  is contained in a closed linear subspace of infinite deficiency in  $E$ ? Unfortunately, we only have the following partial result due to Klee [12]. For any given non-negative integer  $n$  and compact subset  $K$  of an infinite-dimensional Banach space  $E$ , there exist closed linear subspaces  $L_1, L_2$  of  $E$ ,  $E = L_1 \oplus L_2$ ,  $\dim L_2 = n$ , and an isotopy  $f$  on  $E$  such that  $f_1$  maps  $K$  linearly into  $L_1$  and  $f_0$  is the identity mapping on  $E$ . It can be proved that the result is true even when  $E$  is an infinite-dimensional complete metrizable locally convex topological vector space.

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